

FATCA/CRS Self-Certification Form for Individual Clients

(Form instructions are on the last page)

Part 1 – Identification of individual account holder and tax residency

.....
Last name:

.....
First name, middle name:

.....
PESEL:

.....
ID No.:

.....
Date of birth (DD-MM-YYYY):

.....
Town/city and country of birth:

.....
Mother's first name:

(Applies only to individuals with a foreign tax residency)

.....
Father's first name:

(Applies only to individuals with a foreign tax residency)

Address of residence: *(your address of residence which is your intended permanent residence)*

Street and building number:

Town/city, postal code and country:

A. Are you a tax resident of your country of residence indicated above?

If YES, please provide your TIN and go to question B below. If you have not been assigned a TIN in your country of tax residence, please write ,none'.

If NO, please do not answer question B below and go straight to Parts 2, 3 and 4.

YES - TIN¹

NO

B. Is it your only country of tax residency?

If YES, please complete Part 2 and then go straight to Part 4.

If NO, please complete Parts 2, 3 and 4.

YES

NO

Part 2 – Tax residency in the U.S. and your TIN/SSN

Please answer the following questions about U.S. citizenship and tax residency in the U.S.
IMPORTANT! Definition of a U.S. tax resident is provided in the instructions to this form.

Are you a citizen of the United States of America?

(also if you hold another citizenship)

YES NO

Are you a resident of the USA for tax purposes?

(also in the case of tax residency in other countries than the U.S.)

YES NO

If your answer "yes" to at least one of the above questions please provide your Tax Identification Number (TIN) / Social Security Number (SSN):

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¹ If the specified country of residence is Poland, you are not required to provide the TIN or information about absence thereof.

Part 3 – Other tax residencies

If you have tax residencies other than specified in Parts 1 or 2, please specify them in the table below. It applies also to tax residency in Poland.
If you did not specify any tax residency in Parts 1 or 2, you need to specify at least one tax residency in the table below.

	Country of tax residency	TIN ²	No TIN assigned in the country of tax residency ²	Address of residence in the country of tax residency ²	
1			<input type="checkbox"/> YES	Street and building number, Town/city and postal code:	_____
2			<input type="checkbox"/> YES	Street and building number, Town/city and postal code:	_____
3			<input type="checkbox"/> YES	Street and building number, Town/city and postal code:	_____

Part 4 – Statements and signature

- I represent that all my statements made in this form are, to the best of my knowledge and belief, correct and accurate.
- I confirm that:
 - I am acting on my own behalf and for my own benefit, i.e. I am entitled to the profits made and the assets maintained in the accounts kept by the Bank, and I certify that I am not acting as a trustee, agent, curator, the person authorized to sign the documents, the investment advisor or intermediary
 - or
 - I am authorized to make this statement on behalf of the account holder.
- I agree to notify Bank Handlowy w Warszawie S.A. of any change of circumstances which affects my tax residency or causes information contained in this statement to become obsolete and to make an appropriately updated statement within 30 days from the date on which such a change of circumstances occurs.

.....
Date and signature:

To be completed by the Bank

Details of account holder / first co-holder:

The statement has been received and its accuracy verified

.....
Customer number

.....
Date, employee's signature and stamp

.....
Customer's full name

Information from the form has been entered in the system and forwarded to the next unit

.....
PESEL

.....
Date, employee's signature and stamp / Nr komunikatu systemowego

The statement has been verified in terms of correctness and process compliance, and forwarded to the next unit

.....
Other remarks

.....
Date, employee's signature and stamp

² Not required if the specified country of tax residency is Poland.

Instructions to the FATCA/CRS Self-Certification Form for Individual Clients

Please read these instructions carefully before completing the form.

Bank Handlowy w Warszawie S.A. is obligated¹ to collect and provide the tax authorities with certain information about the tax residency status of its customers and other information about accounts maintained. Tax authorities may then exchange information received with tax authorities in countries in which you are a resident for tax purposes. The process of collecting and exchanging information about accounts held by U.S. tax residents is referred to with the abbreviation **FATCA**. A similar process conducted with respect to accounts held by tax residents of other countries is referred to with the abbreviation **CRS**.

Data which is subject to the exchange includes the first and last names, address of residence, town/city and country of birth, date of birth, foreign tax residency, foreign tax identification number, account number, account balance, amount of interest received, dividend, income from the sale of securities or other income received in connection with accounts at Bank Handlowy w Warszawie S.A.

Please complete this form only if the account holder is an Individual Client who does not conduct any business activity.

Persons completing this form on behalf of the account holder should provide any and all documents confirming authorization to do so.

The term 'TIN' as used in this form means a taxpayer identification number or, in the absence of one, its functional equivalent. The TIN is a unique combination of letters and digits assigned by a jurisdiction to an individual or an entity and used to identify the individual or entity for the purposes of implementation of the tax laws in force in such a jurisdiction.

Some jurisdictions do not issue TINs. However, these jurisdictions often utilize some other high-integrity numbers with an equivalent level of identification ('functional equivalents'). Examples of that type of number include, for individuals, social security numbers (e.g. the SSN issued in the U.S.), identification code/number/citizen/personal service number and resident registration number.

U.S. tax resident status may be a result of: (i) permanent residence in the territory of the U.S. or (ii) possession of a work permit in the U.S. (the 'green card'). U.S. tax residency may also be a result of satisfying the substantial presence test which is generally used to verify the length of stay in the territory of the U.S. in the last 3 years. More information about the test is available on the website of U.S. tax authorities (the IRS): <http://www.irs.gov/Individuals/International-Taxpayers/Substantial-Presence-Test>.

Bank Handlowy w Warszawie S.A. does not provide tax advice to its customers. In the event of any doubts about the tax resident status in a given jurisdiction, please contact a tax advisor or use OECD's AEOI portal at www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/.

¹ Based on: (i) the Act of 9 October 2015 on the performance of the Agreement between the Government of the Republic of Poland and the Government of the United States of America with respect to an improved fulfilment of international tax obligations and implementation of FATCA (Journal of Laws 2015.1712) and (ii) the Act of 9 March 2017 on the exchange of tax information with other countries (Journal of Laws 2017.648).